

**puro •
earth**

Environmental and Social Safeguards Questionnaire

The purpose of this document is to provide summary of how the CO₂ Removal Supplier complies with the environmental and social safeguards, as defined in Section 6.4 of the [Puro General Rules 4.0](#). The responses from the supplier are expected to be commensurate with the identified impacts and risks. The responses will be **published**.

This document consists of five sections with the fifth section not being applicable for all suppliers:

1. General overview and compliance
2. Labor practices and rights
3. Environmental impact and management
4. Social impact and community relations
5. Biomass sustainability

This document forms part of the Production Facility Audit package. It is corroborated by other documents and evidence provided by the supplier to Puro.earth and the VVB, demonstrating environmental and social safeguards. This document is validated in a Production Facility Audit.

CO₂ Removal Suppliers should consult the applicable methodology and the corresponding audit document index to find a comprehensive list of evidence required for Production Facility and Output Audits.

1. General overview and compliance

Provide a description of your operations and the context where you are operating in.

Click or tap here to enter text.

Provide an overview of the material environmental and social impacts and risks in your operations, and how they were determined.

Click or tap here to enter text.

Requirement: Abide by national and local laws, objectives, programs, and regulations and, where relevant, international conventions and agreements.

Rule
6.4.1.1.i

Do you comply with the requirement?

Yes

No

If not, how and why do you not comply?

If yes, how do you know that you comply with the requirement?

Please provide details considering the laws and regulations that are most relevant to your operations. Also, include any regulations that are specifically related to your carbon removal activities.

Click or tap here to enter text.

Identify any documents or other records that you rely upon to verify compliance.

Click or tap here to enter text.

Requirement: Respect for **human rights** and avoiding discrimination; abiding by the International Bill of Human Rights and universal instruments ratified by the host country.

Rule
6.4.1.1.ii

Do you comply with the requirement?

Yes

No

Motivate below.

Click or tap here to enter text.

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| Requirement: Recognize, respect, and promote the protection of the rights of IPs & LCs (indigenous peoples and local communities) in line with applicable international human rights law, and the United Nations Declaration on the Rights of Indigenous Peoples and International Labor Organization (ILO) Convention 169 on Indigenous and Tribal Peoples. | | Rule 6.4.1.1.iii |
| Do you comply with the requirement? Motivate below. | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Click or tap here to enter text. | | |

Note that there is an additional question on free, prior, informed consent below, and there is a requirement to publish a separate stakeholder engagement report based on a Puro template.

2. Labor practices and rights

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| Requirement: Labor rights and working conditions, including fair treatment of employees, prohibiting forced labor, child labor or trafficked persons whether in own operations or employed by third parties. | | Rule 6.4.1.1.iv |
| Do you comply with the requirement? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| If not, how and why do you not comply? If yes, how do you know that you comply with the requirement? | | |
| Click or tap here to enter text. | | |
| Identify any documents or other records that you rely upon to verify compliance. | | |
| Click or tap here to enter text. | | |

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| Requirement: Ensuring a safe working environment and mitigating occupational health and safety hazards. | | Rule 6.4.1.1.iv |
| Describe occupational health and safety hazards that you have identified. | | |
| Click or tap here to enter text. | | |
| Describe the measures undertaken to mitigate the hazards. | | |
| Click or tap here to enter text. | | |

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| Requirement: Providing for equal opportunities in the context of gender; providing equal pay for equal work and protecting against and appropriately responding to violence against women and girls. | | Rule 6.4.1.1.v |
| Do you comply with the requirement? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| If not, how and why do you not comply? If yes, how do you know that you comply with the requirement? | | |
| Click or tap here to enter text. | | |
| Identify any documents or other records that you rely upon to verify compliance. | | |
| Click or tap here to enter text. | | |

3. Environmental impact and management

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| Requirement: Pollution prevention, including pollutant emissions to air, water, and soil as well as noise and vibration, and generation of waste and release of hazardous materials, chemical pesticides, and fertilizers. | | Rule 6.4.1.1.vi |
| Does the carbon removal activity result in the following impacts? For each potential impact , please provide detailed information about its extent and the current measures in place to mitigate these negative impacts. | | |
| a. Pollutant discharges to air | | |
| Click or tap here to enter text. | | |
| b. Pollutant discharges to water | | |
| Click or tap here to enter text. | | |
| c. Pollutant discharges to soil | | |
| Click or tap here to enter text. | | |
| d. Noise | | |
| Click or tap here to enter text. | | |
| e. Vibration | | |

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| Click or tap here to enter text. |
| f. Waste |
| Click or tap here to enter text. |
| g. Release of hazardous materials |
| Click or tap here to enter text. |
| h. Chemical pesticides and fertilizers |
| Click or tap here to enter text. |

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| Requirement: Biodiversity conservation and sustainable management of natural resources, including avoiding or minimizing negative impacts on terrestrial and marine biodiversity and ecosystems; protecting the habitats of rare, threatened, and endangered species, including areas needed for habitat connectivity. | Rule 6.4.1.1.viii |
| Is the activity taking place in or near environmentally sensitive areas, including protected areas (e.g. nature reserve or national park), or other areas included in a conservation plan? Describe where the nearest such areas are. | |
| Click or tap here to enter text. | |
| Describe impacts and risks that you have identified | |
| Click or tap here to enter text. | |
| Describe the measures undertaken to minimize and address the impacts and the risks. | |
| Click or tap here to enter text. | |

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| Requirement: Minimizing soil degradation and soil erosion. | Rule 6.4.1.1.viii |
| Describe impacts and risks to soil that you have identified. | |
| Click or tap here to enter text. | |

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| Describe the measures undertaken to minimize and address the impacts and the risks. |
| Click or tap here to enter text. |

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| Requirement: Minimizing water consumption and stress. | | Rule 6.4.1.1.viii |
| Are you located in an area impacted with water stress? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| If yes, describe local conditions in terms of water stress and any risk analysis done on the impacts of the CO ₂ removal activity on water stress | | |
| Click or tap here to enter text. | | |
| Describe any agreements and/or regulations relating to water sourcing. | | |
| Click or tap here to enter text. | | |
| Describe the measures undertaken to minimize water consumption. | | |
| Click or tap here to enter text. | | |

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| Requirement: The CO₂ Removal Supplier shall not convert natural forests or high conservation value habitats. | | Rule 6.4.1.1.viii |
| Do you comply with the requirement? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| If not, how and why do you not comply? If yes, how do you know that you comply with the requirement? | | |
| Click or tap here to enter text. | | |
| Identify any documents or other records that you rely upon to verify compliance. | | |
| Click or tap here to enter text. | | |

4. Social impact and community relations

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| Requirement: Avoiding or minimizing adverse impacts to community health and safety. | Rule 6.4.1.1.vii |
| Describe potential sources of impact, taking into account all relevant factors in the given context. Consider both routine and non-routine circumstances. | |
| Click or tap here to enter text. | |
| Describe the measures undertaken to minimize and address the impacts and the risks. | |
| Click or tap here to enter text. | |

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| Requirement: Preserves and protects cultural heritage and cultural and religious sites. | Rule 6.4.1.1.ix |
| Describe the impacts and the risks to cultural heritage and cultural and religious sites that you have identified. | |
| Click or tap here to enter text. | |
| Describe the measures undertaken to minimize and address the impacts and the risks. | |
| Click or tap here to enter text. | |

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| Requirement: Avoiding forced physical and/or economic displacement. If avoidance is not feasible, CO ₂ Removal Suppliers shall minimize physical and/or economic displacement. This applies also to any access restrictions to lands, territories, or resources, and any customary rights of local right holders. | | Rule 6.4.1.1.x |
| Did/does the activity result either in forced physical or economic displacement? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| If yes, describe the impact to local communities and how it was assessed? | | |
| Click or tap here to enter text. | | |
| Provide a comprehensive description of the process that was undertaken, compensation arrangements and measures to mitigate the negative impacts. | | |

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| Click or tap here to enter text. |
| Also describe in detail how you minimized forced physical or economic displacement. |
| Click or tap here to enter text. |

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| Requirement: When the activity directly or indirectly impacts indigenous peoples or their livelihoods, ancestral knowledge or cultural heritage, the CO ₂ Removal supplier shall develop the Production Facility with free, prior, informed consent (FPIC). | | Rule 6.4.2 |
| Is the CO ₂ removal activity taking place in an area inhabited by or claimed by indigenous people, or does it influence such an area? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| If yes: does the activity directly or indirectly impact indigenous peoples or their livelihoods, ancestral knowledge or cultural heritage? How was that determined? | | |
| Click or tap here to enter text. | | |
| If there is a direct or indirect impact: | | |
| a. Provide a description of the impact and the measures that were taken to minimize the impact. | | |
| Click or tap here to enter text. | | |
| b. Describe how and when the indigenous communities were identified and approached for the FPIC process. | | |
| Click or tap here to enter text. | | |
| c. Describe the mutually agreed process for the negotiations. | | |
| Click or tap here to enter text. | | |
| d. Describe how the indigenous communities were informed about the potential impacts of the activity on their livelihoods, ancestral knowledge, or cultural heritage. | | |
| Click or tap here to enter text. | | |
| e. Describe the outcome of the negotiations. | | |

Click or tap here to enter text.

f. Describe how the ongoing consent process is managed to ensure that the indigenous communities continue to agree with the activity as it progresses.

Click or tap here to enter text.

g. Describe grievance mechanisms that are in place for the indigenous communities.

Click or tap here to enter text.

h. Describe how the impacts on the indigenous communities are monitored and addressed during the operation of the Production Facility.

Click or tap here to enter text.

5. Biomass sustainability

Puro methodologies require that whenever biomass feedstock is used in the carbon removal activity, it must be sourced in a sustainable way

Is your carbon removal activity based on using biomass feedstock?

Yes

No

Describe how you ensure that it is sourced sustainably

Click or tap here to enter text.